



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

S. Deliwala et al.

Examiner:

Not yet known

Serial No.:

09/991,371

Group No.:

3653

Filed:

November 10, 2001

Attorney Docket No.:

053168-5003-02

For:

Anisotropic Etching of

**Optical Components** 

## INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.97(b), the above-identified Applicants cite the following patents and publications, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. Applicant hereby states that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to this filing. In compliance with 37 C.F.R. § 1.98(a), copies of the patents and publications set forth below and listed on the attached Form PTO/SB/08A (1 sheet) which have not yet been cited in this application, have been provided.

CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted of enclosed being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail Ran & addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Name Daniel H. Golub

Date of Deposit October

U.S. Patent Document No.	Patentee	Publication Date
6,316,281 B1	Lee et al.	11/13/2001
6,268,953 B1	Maloney	07/31/2001
6,242,324 B1	Kub et al.	06/05/2001
5,514,885 A	Myrick	05/07/1996

Applicants respectfully request that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning copies of the enclosed Form PTO/SB/08A with the next official action.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure

Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

Respectfully submitted,

Daniel H. Golub Reg. No. 33,701

Attorney for Applicant

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Dated: October 28, 2002

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Substitute for form 1449A/PTO				Compl te if Known			
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INFORMATION DISCLOSURE			JLUSURE	Filing Date	11-10-2001		
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				,	Art Unit	3653	
				ecessary)	Examiner Name	Not yet Known	
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			U.S. PATE	NT DOCUMENTS		
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	<b>/</b>	US-6. 3/6.28/ B/	11-13-2001		- I Igaras Appadi	ı
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code 3 -Number 4- Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.